



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Galloway  
Serial No.: 10/602,536  
Filed: June 23, 2003  
For: PROCESS AND SYSTEM FOR  
CONVERTING CARBONACEOUS  
FEEDSTOCKS INTO ENERGY  
WITHOUT GREENHOUSE GAS  
EMISSIONS  
Art Unit: 1745  
Examiner: Not Assigned  
Atty. Docket: 3178-10

**CERTIFICATE OF MAILING/TRANSMISSION**  
(37 C.F.R. § 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

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Commissioner for Patents, Alexandria, Virginia  
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( ) transmitted by facsimile to the Assistant Commissioner for Patents.

Date

12/3/03  
*Linda Clinkenbeard*

**INFORMATION DISCLOSURE STATEMENT**  
**PURSUANT TO 37 C.F.R. §1.56 AND §§1.97-1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

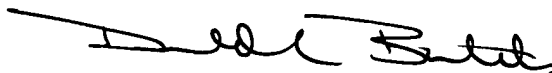
The citations listed on the enclosed PTO-1449 Form are submitted under 37 C.F.R. §§1.97 and 1.98, and in compliance with the duty of disclosure as defined in 37 C.F.R. §1.56. Pursuant to 37 C.F.R. §1.98(d)(1), copies of the cited references are not provided herewith because the above-identified application is a continuation-in-part application that claims priority under 35 U.S.C. 120 from application Serial No. 10/184,264, filed June 27, 2002. The Examiner is requested to make these citations officially of record in the application.

This Information Disclosure Statement is being submitted before receipt of the first Office Action for the above-identified application. Consequently, pursuant to 37 C.F.R. §1.97, no fee or certification is required. However, in the event that the first Office Action was mailed before the mailing of this Statement, the Commissioner is hereby authorized to charge the

fee set forth in 37 C.F.R. §1.17(p) to deposit account No. 13-0201 to cover this fee. A duplicate of this statement is attached.

This Information Disclosure Statement Under 37 C.F.R. §1.56 is not to be construed as a representation that the listed citations establish, by themselves or in combination with other information, a *prima facie* case of unpatentability of any claim in the above-identified application. Additionally, this Information Disclosure Statement is not to be construed as a representation that a further search of the art has been made by Applicant, or that additional information relevant to the examination of this application does not exist unbeknownst to Applicant.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Donald L. Bartels", written over a horizontal line.

Donald L. Bartels  
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December 3, 2003  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**INFORMATION DISCLOSURE STATEMENT**

UNDER 37 CFR §1.56, §1.97, and §1.98

ATTORNEY DOCKET NO.:

3178-0010

SERIAL NO.:

10/602,536

APPLICANTS: Galloway

EXAMINER: Not Assigned

FILING DATE: June 23, 2003

GROUP ART UNIT: 1745

PTO-1449 FORM Sheet 1 of 1

**U.S. PATENT DOCUMENTS**

† EXAMINER INITIAL & TRANSFER	*REF. #	PATENT NUMBER	DATE (MO/YR)	NAME	U.S. CLASS/ SUBCLASS	FILING DATE (If appropriate)
	A1	6,187,465	2/13/2001	Galloway	429/17	11/5/1998
	*A2	4,473,622	9/1984	Chludzinski et al.	429/19	
	A3	4,874,587	10/1989	Galloway	422/189	8/1987
	*A4	5,068,159	11/1991	Kinoshita	429/17 X	
	*A5	5,248,566	9/1993	Kumar et al.	429/19	
	*A6	5,616,430	4/1997	Aoyama et al.	429/17	
	*A7	5,866,090	2/1999	Nakagawa	429/17	
	*A8	5,985,474	11/1999	Chen et al.	429/17	

**FOREIGN PATENT DOCUMENTS**

† EXAMINER INITIAL	*REF. #	PATENT NUMBER	DATE (MO/YR)	COUNTRY	TRANSLATION (YES/NO)
	*B1	60-177571	9/1985	JAPAN	NO

**OTHER DOCUMENTS**

† EXAMINER INITIAL	*REF. #	DOCUMENT DESCRIPTION (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES)
	*C1	Aresta et al., "Carbon Dioxide: A Substitute for Phosgene", Chem Tech. pp. 32-40, Mar., 1997.
	*C2	Hileman, "Industry Considers CO2 Reduction Methods", Chem & Engr News, p. 30, Jun. 1997.
	*C3	Caruana, "Fuel Cells Poised to Provide Power", Chem. Eng. Prog., pp. 11-21, Sep., 1996.
	*C4	Eilers et al., "The Shell Middle Distillate Synthesis Process (SMDS)", J.C. Baltzer A.G., Scientific Publishing Company, Catalysis Letters 7, pp. 253-269, 1990. (Month unknown).
	*C5	"The Shell Middle Distillate Synthesis Process (SMDS)", J. Eilers, S.A. Posthuma, AIChE Spring National Meeting, Mar. 18-22, 1990.
	*C6	"Advances in Tubular Solid Oxide Fuel Cell Technology", S.C. Singhal, Westinghouse Electric Corporation, 1995 (Month unknown).
	*C7	"A New Concept for a Lower Cost Coal to Methanol Plant", T.R. Galloway, W.T. Atkins, G.E. Pack, M.B. Psacoo, Advanced Energy Conversion Division, Mittelhauser Corp.-17 <sup>th</sup> IECEC.
	*C8	"Conversion of Natural Gas to Transportation Fuels Via the Shell Middle Distillate Synthesis Process", (SMDS), V.M.H. Van Wechem, Shell International Petroleum & M.G. G. Senden, Koninklijke/Shell. 1994 (month unknown).
	*C9	"Texaco Gasification Process", Terry R. Galloway, SEG-California. (Date Unknown).

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant(s).